



**PMA Financial Network and its Affiliated Companies
Business Continuity Plan**

Disclosure Statement:

PMA has developed a Business Continuity Plan on how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

Contacting PMA-If after a significant business disruption you cannot contact us as you usually do at (630) 657-6400, please contact one of our branch locations, in MN at (763) 497-1490 or in WI at (414) 225-0099, or go to our website at www.pmanetwork.com.

Our business continuity plan addresses: data back up and recovery; all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; critical supplier, contractor, bank and counter-party impact; regulatory reporting; and assuring our customers prompt access to their funds and securities if we are unable to continue our business. PMA maintains a business continuity plan, which includes the existence of multiple back-up facilities of varying distance that maintains redundant data to allow PMA to continue its operations in the face of internal or external Significant Business Disruptions (“SBD”). The following are specific scenarios of varying severity:

Firm-only disruption-In the event systems crash, PMA maintains a local back-up facility that will be activated immediately after complete assessment of the situation. The back up facility maintains redundant data to allow PMA to continue its operations.

Building or business district disruption-In the event of a power outage, PMA maintains a back up generator that will be activated, which allows its systems to continually run until power is restored. In the event of a fire, PMA maintains a local back-up facility that will be immediately activated. The back up facility maintains redundant data to allow PMA to continue its operations.

Citywide and Regional disruptions-PMA maintains two back up facilities located in geographically diverse areas that can be activated immediately upon assessment of the disruption. These facilities are fully equipped to resume operations. The planned recovery time, including a relocation of personnel for the local disruptions is approximately 4 hours and for Citywide and Regional disruptions is approximately 4 hours. However, this recovery time is a goal and is not concrete. This recovery objective may be negatively affected by the unavailability of external resources and circumstances beyond our control. To our fullest power, PMA believes it has the necessary back up facilities to continue its operations until normal working conditions can be restored. This plan is reviewed annually and is subject to change. Any changes or updates will be immediately posted to our website. Customers can also obtain updated summaries by requesting a written copy by mail. If you have questions about our Business Continuity Plan feel free to contact us at (630) 657-6400.

PMA Financial Network, Inc.